(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 10 September 2004 (10.09.2004)

PCT

(10) International Publication Number WO 2004/076664 A3

- (51) International Patent Classification7: 15/86
- C12N 15/11,
- (21) International Application Number:

PCT/US2004/005566

- (22) International Filing Date: 23 February 2004 (23.02.2004)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/319,964 60/320,108

21 February 2003 (21.02.2003) US 15 April 2003 (15.04.2003)

- (71) Applicant (for all designated States except US): UNIVER-SITY OF SOUTH FLORIDA [US/US]; 4202 East Fowler Avenue, FAO 126, Tampa, FL 33620-7900 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): MOHAPATRA, Shyam, S. [US/US]; 18510 Country Crest Place, Tampa, FL 33647 (US). ZHANG, Weidong [CN/US]; 14247 Les Palms Circle Apt. 2, Tampa, FL 33613 (US).
- (74) Agents: LADWIG, Glenn, P. et al.; Saliwanchik, Lloyd & Saliwanchik, A Professional Association, PO Box 142950, Gainesville, FL 32614-2950 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments
- (88) Date of publication of the international search report: 24 March 2005

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: VECTORS FOR REGULATING GENE EXPRESSION

(57) Abstract: The present invention pertains to vectors for regulating gene expression having at least one gene expressing cassette and at least one gene suppressing cassette, wherein the gene expression cassette encodes a polypeptide of interest, and wherein the gene suppressing cassette encodes a short interfering RNA (siRNA) molecule that reduces expression of a target gene by RNA interference. The present invention further includes vectors that contain suppressor cassettes in conjunction with cassettes upregulating gene expression regulated by either a constitutive promoter, such as a general CMV promoter, or a tissue specific promoter. The present invention further includes vectors that contain Dengue virus gene suppression cassettes. The present invention further includes pharmaceutical compositions containing such vectors, methods of modulating the expression of genes in a host using such vectors, and method of producing such vectors.



Inte Application No PC 2004/005566

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 C12N15/11 C12N C12N15/86 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 C12N Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, Sequence Search C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages ADELMAN ZACH N ET AL: "RNA silencing of 1,2,4-6,X 12,16, dengue virus type 2 replication in 17, transformed C6/36 mosquito cells 19-22. transcribing an inverted-repeat RNA derived from the virus genome." 34,35, December 2002 (2002-12), JOURNAL OF 37 - 39VIROLOGY, VOL. 76, NR. 24, PAGE(S) 47.48 12925-12933 , XP002292381 ISSN: 0022-538X page 12926, right-hand column, paragraph 3 - page 12927, left-hand column, paragraph 1; figures 1,2 24 Y page 12927, right-hand column, paragraph 2 page 12931, left-hand column, paragraph 2 page 12932, left-hand column, paragraph -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. X Special categories of cited documents: *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance Invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention filing date cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled other means document published prior to the International filing date but later than the priority date claimed *&* document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 3 1. 01. 05 16 August 2004 Name and mailing address of the ISA **Authorized officer** European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Weiland, S

Inter 1al Application No PCT/US2004/005566

		PC1/US2004/005566				
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Category * Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No.						
Caregory •	Citation of document, with indication, where appropriate, or the relevant passages	nsevant to Gami No.				
X	PAUL C P ET AL: "EFFECTIVE EXPRESSION OF SMALL INTERFERING RNA IN HUMAN CELLS" NATURE BIOTECHNOLOGY, NATURE PUBLISHING, US, vol. 20, no. 5, May 2002 (2002-05), pages 505-508, XP001121066 ISSN: 1087-0156	1-6, 8-20, 34-41, 47,48				
Y	page 506, left-hand column, paragraphs 2,3; figure 1 page 507, right-hand column, paragraph 2 page 508, left-hand column, paragraph 1	24				
Y	ABBAS-TERKI T ET AL: "Lentiviral-mediated RNA interference" HUMAN GENE THERAPY, XX, XX, vol. 13, no. 18, 10 December 2002 (2002-12-10), pages 2197-2201, XP002978528 ISSN: 1043-0342 abstract page 2198, left-hand column, paragraph 2 page 2200, right-hand column, paragraph 3 - page 2201, left-hand column, paragraph 1	24				
P,X	US 2003/148519 A1 (GOOD PAUL D ET AL) 7 August 2003 (2003-08-07) paragraphs '0126!, '0176!, '0180!, '0183!, '0211!, '0218!, '0219!, '0245!; figures 1-10	1-6, 8-20, 34-41, 47,48				

International application No.

PCT/US2004/005566

Box	No. I	Nucleotide and/or amino acid sequence(s) (Continuation of Item 1.b of the first sheet)
i.	With	regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed ation, the international search was carried out on the basis of:
	a.	type of material X a sequence listing table(s) related to the sequence listing
	b.	format of material X in written format X in computer readable form
	c.	contained in the international application as filed filed together with the international application in computer readable form furnished subsequently to this Authority for the purpose of search
2.		In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed, as appropriate, were furnished.
3.	Addi	itional comments:

ional application No. rCT/US2004/005566

	Box II	Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)				
_	This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:					
	1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:				
		Although claims 34-46 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.				
	2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:				
	з. [Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
r	Box III	Observations where unity of invention is lacking (Continuation of Item 3 of first sheet)				
r	This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:				
1						
		see additional sheet				
	1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.				
		, , , , , , , , , , , , , , , , , , ,				
	2. <u> </u>	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.				
	3.	As only some of the required additional search fees were timely pald by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:				
	4. X	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:				
		1-20, 24, 26-29, 31-41, 47, 48 (all in part)				
	Remar	The additional search fees were accompanied by the applicant's protest.				
		No protest accompanied the payment of additional search fees.				
1						

V

INTERNATIONAL SEARCH REPORT	International Application No. PCT/US2004 /005566
FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210	
Continuation of Box II.1	
Although claims 34-46 are directed to a methodough chaman/animal body, the search has been carried alleged effects of the compound/composition.	d of treatment of the d out and based on the

ormation on patent family members

PC . , u32004/005566

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
 US 2003148519) A1	07-08-2003	NONE	
			·	
				¢,